

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----	X
	: Chapter 11
In re	:
	: Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:
	: (Jointly Administered)
Debtors.	:
	: Ref. Docket Nos. 60148,
	: 60149, 60151, 60154, 60156,
	: 60158, 60159, 60162, 60164,
	: 60165, 60167, 60167, 60172,
	: 60173, 60175, 60178, 60180,
	: 60182, 60183, 60185, 60186,
	: 60191, 60192, 60195, 60196,
	: 60199, 60200, 60203, 60205-
	: 60207, 60212, 60214, 60216
	: and 60218-60220
-----	X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 16, 2019, I caused to be served the “Notice of Defective Transfer,” dated November 12, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
20<sup>th</sup> day of December, 2019

/s/ Forrest Kuffer

Notary Public, State of New York  
No. 01KU6369117  
Qualified in Kings County  
Commission Expires December 26, 2021

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

EMPYREAN LHMN SPV, LP  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., STE 2950  
LOS ANGELES, CA 90067

EMPYREAN LHMN SPV, LP  
ANN BATCHELOR PACE  
C/O CARTER LEDYARD & MILBURN LLP  
2 WALL STREET  
NEW YORK, NY 10005

BAR(23) MAILID \*\*\* 000159824936 \*\*\*

LBH DEFTRFNTC (TRFDFDAT, TRFDEFNUM) 1203



CITIGROUP FINANCIAL PRODUCTS INC.  
ATTN: KENNETH KEELEY  
388 GREENWICH STREET, TRADING TOWER 6TH FLOOR  
NEW YORK, NY 10013

**Your transfer of claim # 21893-28 is defective for the reason(s) checked below:**

Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION

Docket Number 60196

Date: 11/12/2019

/s/ Betina Wheelon

\_\_\_\_\_  
Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
CITIGROUP FINANCIAL PRODUCTS INC.	ATTN: KENNETH KEELEY, 388 GREENWICH STREET, TRADING TOWER 6TH FLOOR, NEW YORK, NY 10013
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	CARTER LEDYARD & MILBURN LLP, ATTN ANN BATCHELOR PACE, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	C/O CARTER LEDYARD & MILBURN LLP, ATTN: ANN B. PACE, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005

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EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
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EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005

[illegible]



Claim Name	Address Information
FIFTH STREET STATION LLC	AVENUE SOUTH, SEATTLE, WA 98104
FIFTH STREET STATION LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC, ATTN: SEAN LOBO, 505 5TH AVENUE SOUTH, SEATTLE, WA 98104
FIFTH STREET STATION LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC, ATTN: BENJAMIN KOLPA, 505 5TH AVENUE SOUTH, SEATTLE, WA 98104
FIFTH STREET STATION LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS, LLC, ATTN: BENJAMIN KOLPA, 505 5TH AVENUE SOUTH, SEATTLE, WA 98104
GOLDMAN SACHS LENDING PARTNERS, LLC	C/O GOLDMAN SACHS & CO- JORDAN TRAVIS, 2001 ROSS AVE, 29TH FLOOR, DALLAS, TX 75201
GOLDMAN SACHS LENDING PARTNERS, LLC	C/O GOLDMAN SACHS & CO- JORDAN TRAVIS, 2001 ROSS AVE, 29TH FLOOR, DALLAS, TX 75201
GOLDMAN SACHS LENDING PARTNERS, LLC	C/O GOLDMAN SACHS & CO- JORDAN TRAVIS, 2001 ROSS AVE, 29TH FLOOR, DALLAS, TX 75201
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PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019

[illegible]

**Claim Name****Address Information****Total Creditor Count 131**